

5G Small Cell Technology

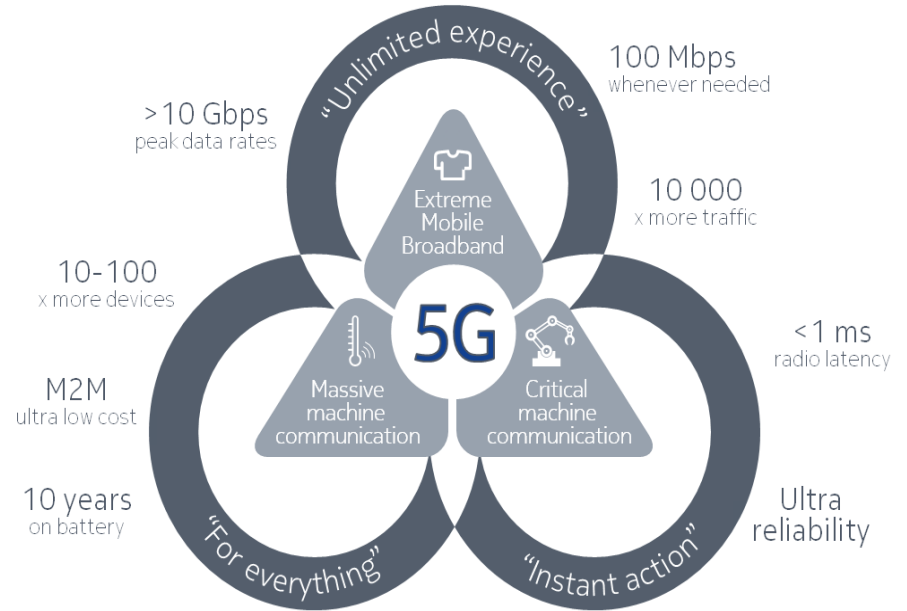
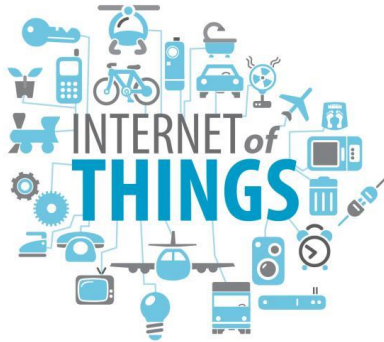
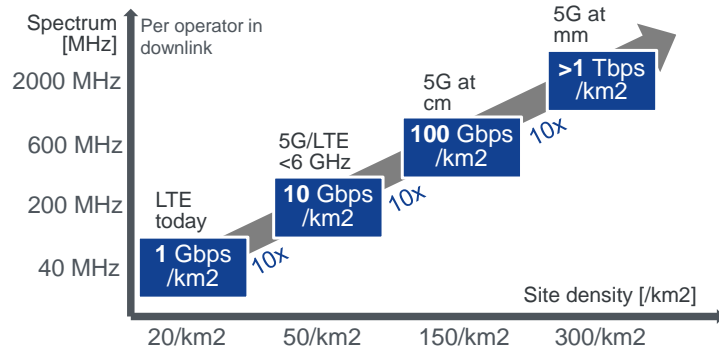
Amitava Ghosh

Nokia Bell Labs

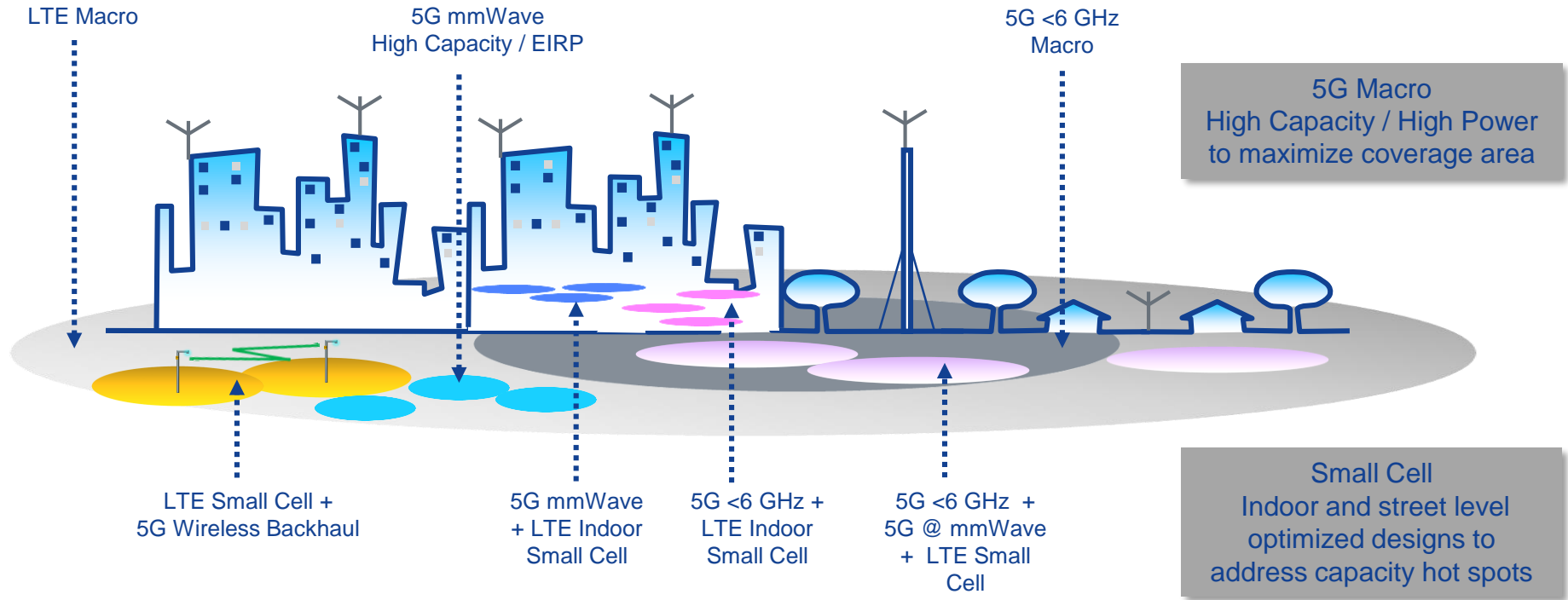
PICASSO, June 19, 2017

IoT value capture from 5G Evolution and Revolution towards 1 Tbs/km2 ...

Three-pronged requirements for 5G networks

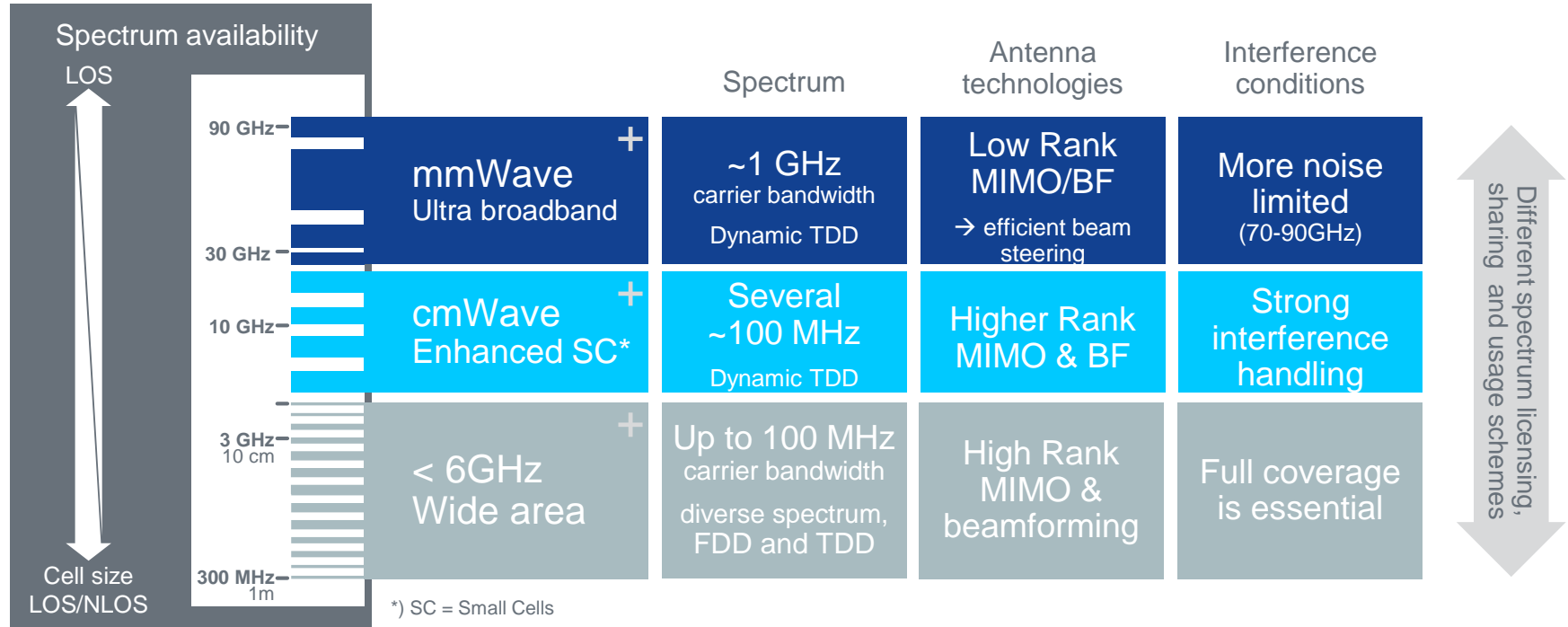


5G Small Cell Deployment Context

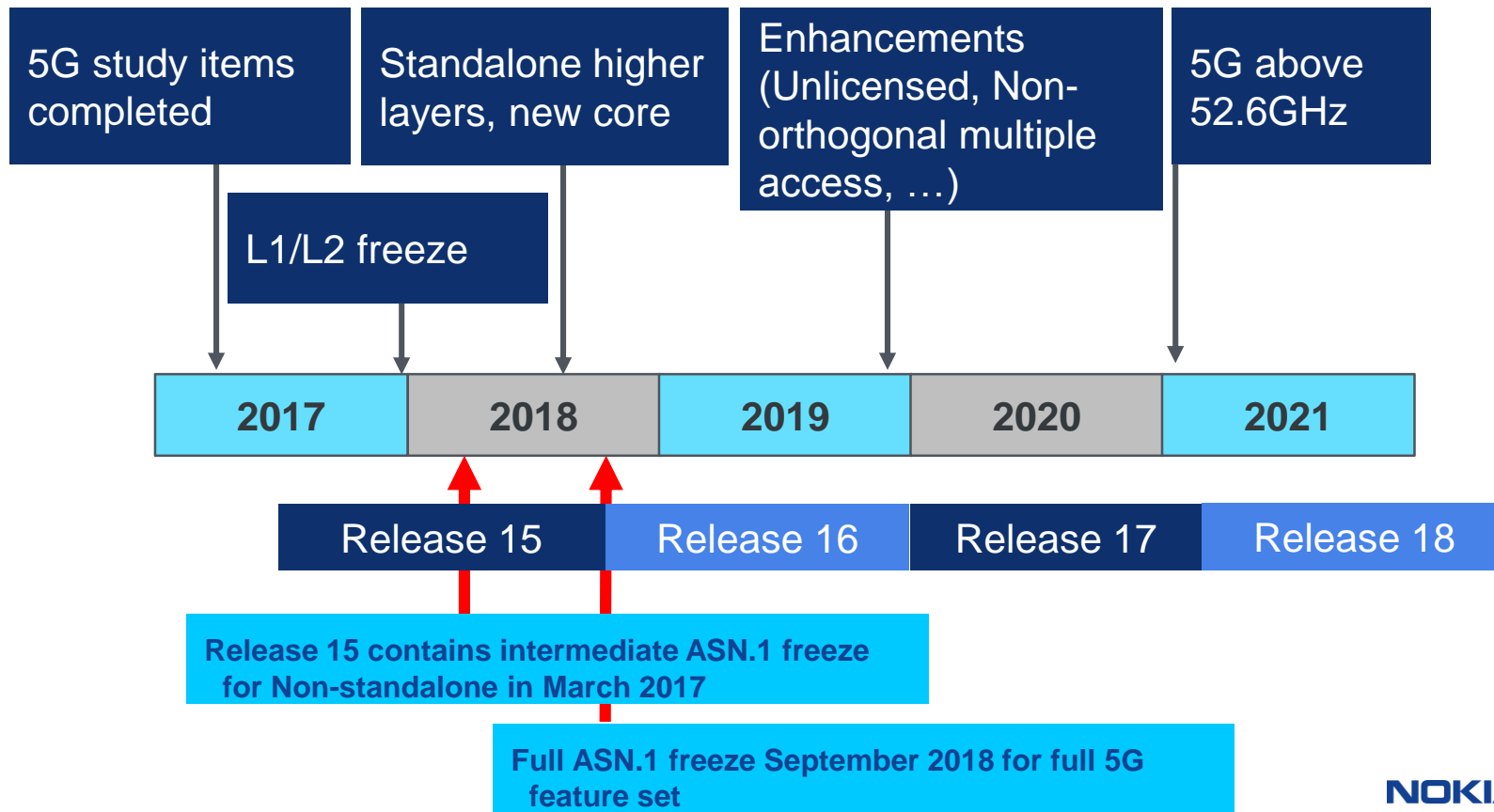


5G is to enable above 6 GHz access & optimize below 6 GHz access

Expanding the spectrum assets to deliver capacity and experience



5G (New Radio) Schedule in 3GPP (Release 16/17 schedule TBC)



Summary of 5G RAN prioritization

Phase 1 WI (Rel-15)

- Main assumption: general support for stand-alone NR below 40GHz (option 2 scenario) including DC
- 4G-5G interworking
- MIMO/Beamforming (fundamental features)
- Mini-slot (note: enabler for URLLC and ensures forward compatibility)
- Public warning/emergency alert (for regulatory needs)
- SON functionality for Dual Connectivity
- RRC inactive data

Phase 2 WI (Rel-16)

- Potential enhancements for eMBB support below 40GHz
- URLLC (below 40GHz)
- 4G-5G interworking – remaining options
- Shared spectrum and 5GHz unlicensed spectrum
- Location/positioning functionality (for regulatory needs)
- MIMO enhancements

Note: some Phase 1 SIs might belong to Phase 2 WI as well (not shown here explicitly)

Phase 1 SI (Rel-15)

- **Unlicensed spectrum**
- **URLLC (below 40GHz)**
- **Non-orthogonal multiple access**
- Location/positioning functionality (for regulatory needs)
 - Indoor/Outdoor
- New SON functionality
- Sidelink (use cases out of reach of LTE evolution)
- NR-Wi-Fi interworking
- **Integrated Access Backhaul**
- **Non-terrestrial networks**
- **eV2V evaluation methodology**

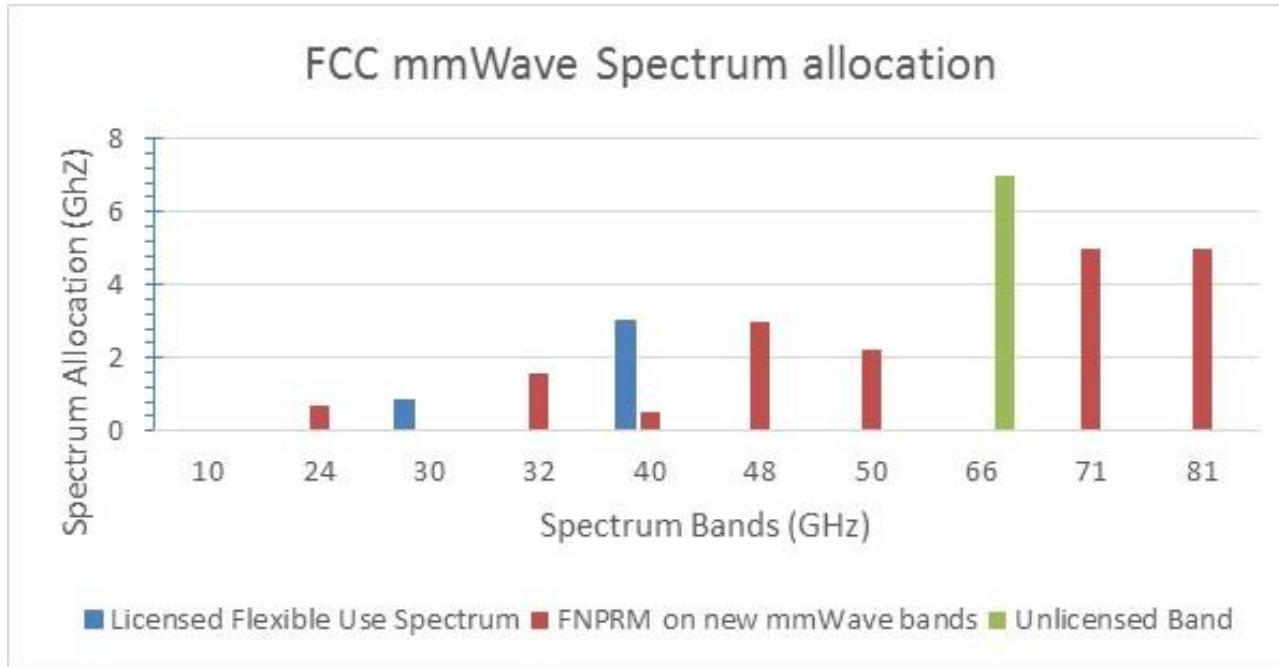
Phase 2 SI (Rel-16)

- mMTC
- **Waveforms for >40GHz**
- **URLLC for >40GHz**
- **MIMO for >40GHz**
- Multi-connectivity (for >2 nodes)
- Uplink based mobility
- 2-step RACH
- TX interference coordination
- **V2V and V2X (use cases out of reach of LTE evolution)**
- **NAICS**
- Multimedia Broadcast/Multicast Service
- **Air-to-ground and light air craft communications**
- Extreme long distance coverage
- Satellite communication
- Other verticals
- **60GHz unlicensed spectrum**

NR frequency ranges/bands after RAN#75

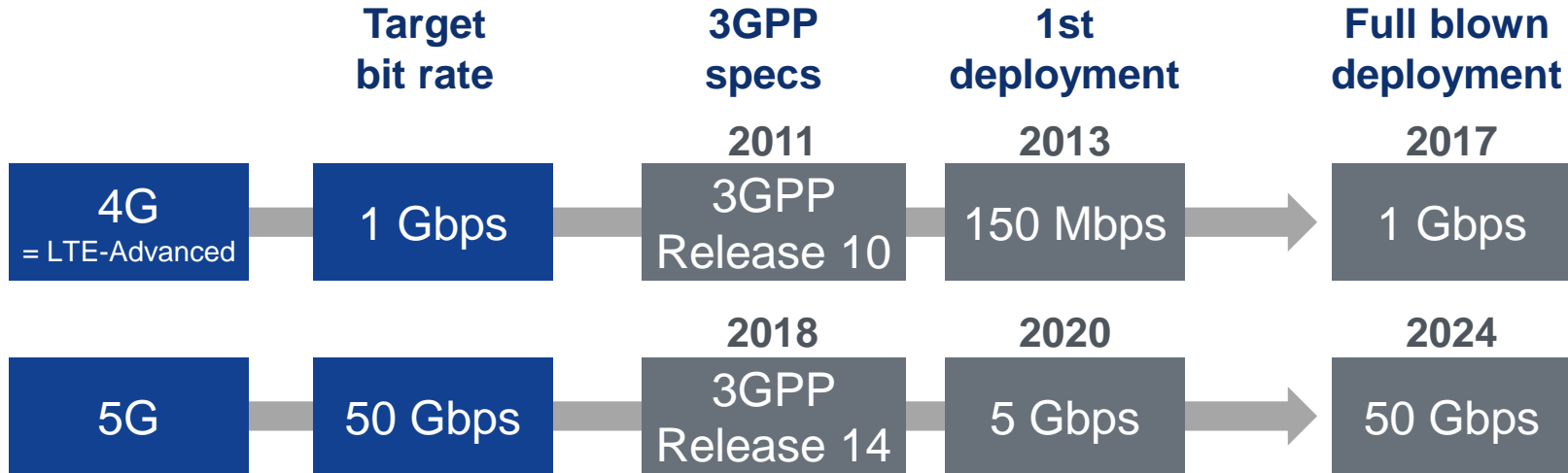
Frequency range/LTE band	Operators whose request is included in the frequency range
3.3-4.2 GHz	DOCOMO, KDDI, SBM, CMCC, China Unicom, China Telecom, KT, SK Telecom, LG Uplus, Etisalat, Orange, Telecom Italia, British Telecom, Deutsche Telekom
4.4-4.99 GHz	DOCOMO, KDDI, SBM, CMCC, China Unicom, China Telecom,
24.25-29.5 GHz	DOCOMO, KDDI, SBM, CMCC, KT, SK Telecom, LG Uplus, Etisalat, Orange, Verizon, T-mobile, Telecom Italia, British Telecom, Deutsche Telekom
31.8-33.4GHz	Orange, Telecom Italia, British Telecom
37-40 GHz	AT&T, Verizon, T-mobile
1.427-1.518G	Etisalat
1710-1785MHz/1805-1880MHz (Band 3)	CMCC, China Telecom
2500-2570MHz/2620-2690MHz (Band 7)	CHTTL, British Telecom
880-915MHz/925-960MHz (Band 8)	CMCC
832–862MHz/791–821MHz (Band 20)	Orange
703-748MHz/758–803MHz (Band 28)	Orange, Swisscom , Telecom Italia , Telefonica , Vodafone
2496-2690MHz (Band 41)	Sprint, China Telecom, C-Spire, China Unicom
1710-1780MHz/2110-2200MHz (band 66)	T-mobile, Dish
1920-1980MHz/2110-2170MHz (Band 1)	China Unicom, China Telecom

FCC mmWave Spectrum Allocation



5G Peak Rates

- 4G achieved 10-15% of the target bit rate in the first deployment and the full target four years later.
- Extrapolating to 5G would give 5 Gbps by 2020 and 50 Gbps by 2024



5G Technology Components for Enhancing S.E. Compared to LTE (sub 6Ghz)

Technology component	Gain		
Enhanced beamforming	+0..60%	Total gain	+50..150%
Lean carrier	+20%		
Enhanced inter-cell cancellation	+20%		
Improved spectral usage	+10%		
Non-orthogonal transmission	?		
Dynamic TDD in small cells	+30%		

Gain values
preliminary

What is “Massive MIMO”



ANTENNA ARRAYS
large number ($\gg 8$) of
controllable antennas

ANTENNA SIGNALS
adaptable by the
physical layer

Not limited
to a particular
implementation

Benefits

Enhance Coverage
High gain adaptive
beamforming

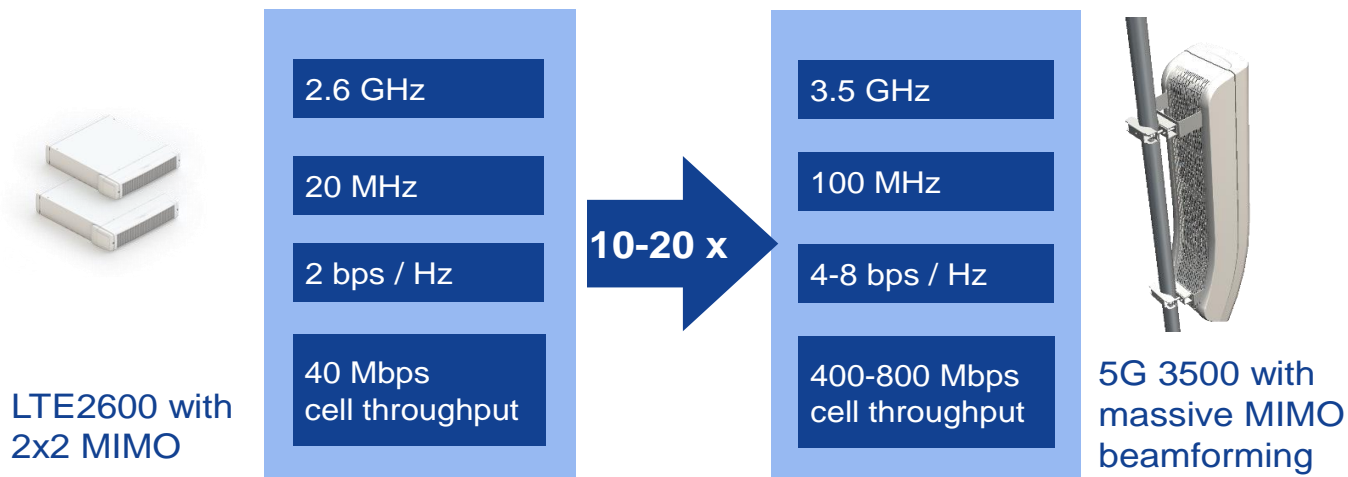
Enhance Capacity
High order spatial
multiplexing

MIMO in 3GPP

Release 8	Release 9	Release 10	Release 11
<ul style="list-style-type: none">• 4x4MIMO• 4x2MIMO• 8RX uplink• Uplink CRAN	<ul style="list-style-type: none">• 8TX TM8	<ul style="list-style-type: none">• 8TX TM9	<ul style="list-style-type: none">• Downlink CoMP (TM10)
Release 12	Release 13	Release 14	Release 15+
<ul style="list-style-type: none">• Downlink eCoMP• New 4TX codebook	<ul style="list-style-type: none">• Massive MIMO 16TX	<ul style="list-style-type: none">• Massive MIMO 32TX	<ul style="list-style-type: none">• 5G massive MIMO 64TX+

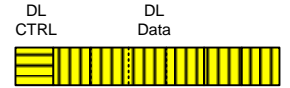
5G vs. 4G Capacity per Cell (sub 6 GHz)

5x More Spectrum with 2 – 4x More Efficiency

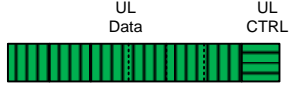


NR frame/subframe structure

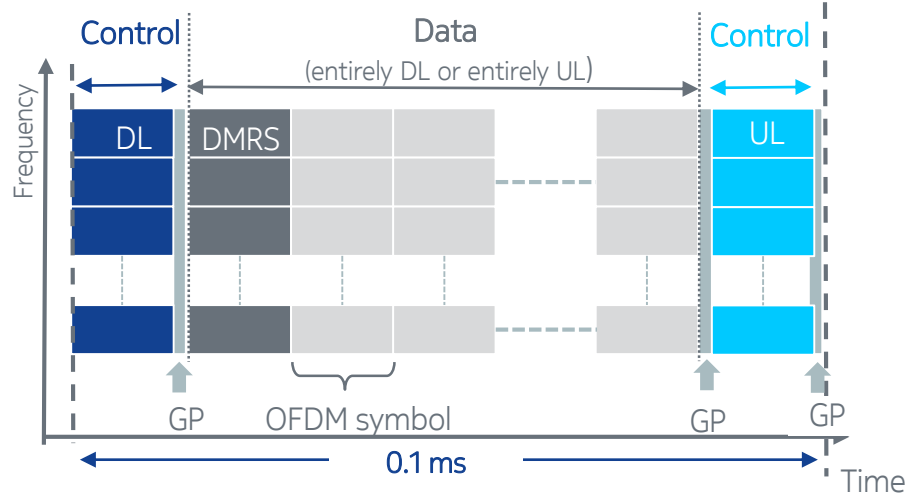
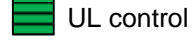
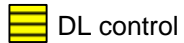
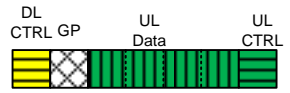
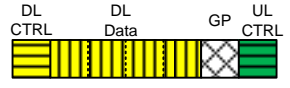
DL only subframe



UL only subframe



Self-contained subframe



0.125ms frame with cascaded UL/DL control signals
0.5 ms user plane latency

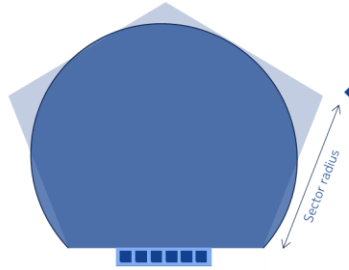
Same physical layer in UL and DL
Flexible UL/DL

Control channel just before data
Energy-effective processing

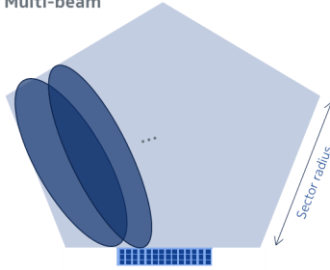
Massive MIMO in 3GPP New Radio – Beam Based Air Interface

Beamformed Control Channels

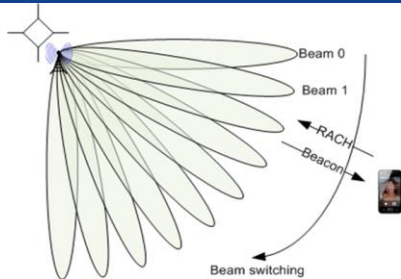
Lower carrier frequencies (digital arch)
- Single-beam



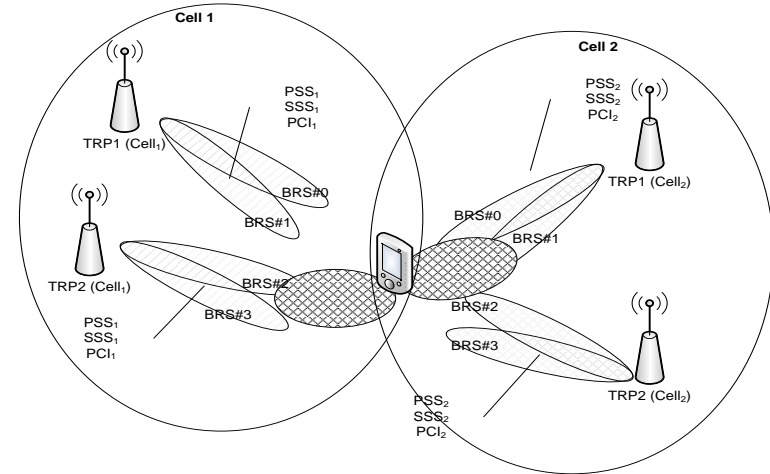
Higher carrier frequencies (hybrid/analog
beamforming architecture)
- Multi-beam



Beam Scanning

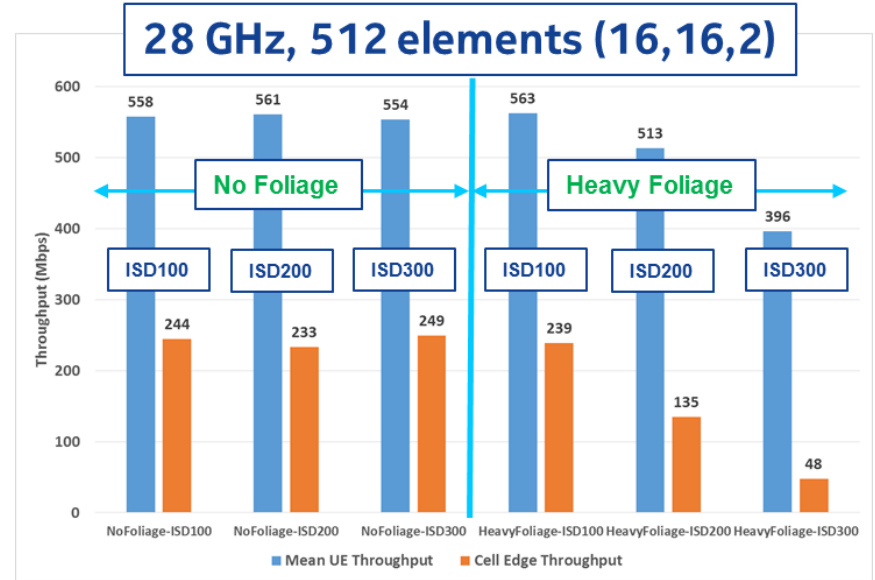


Beam Management



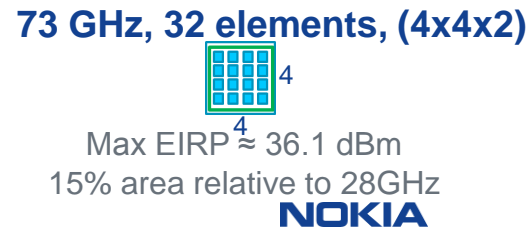
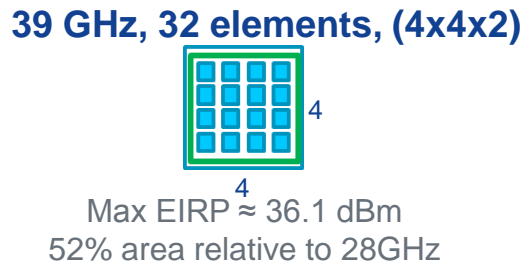
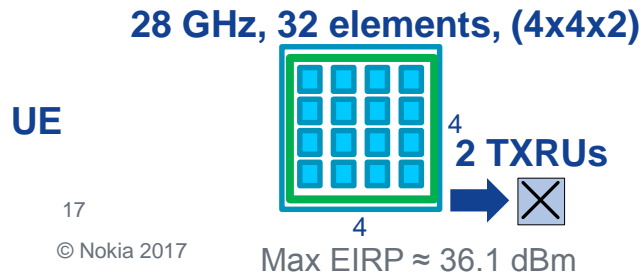
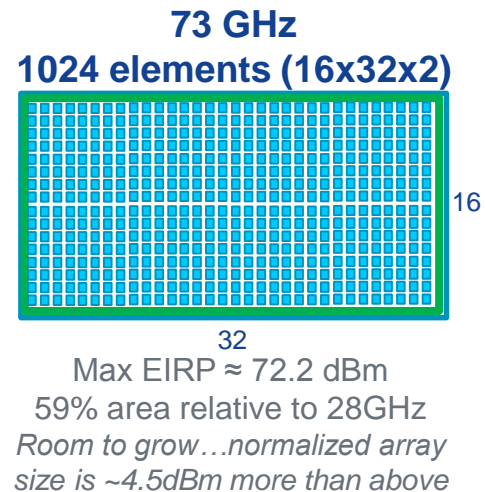
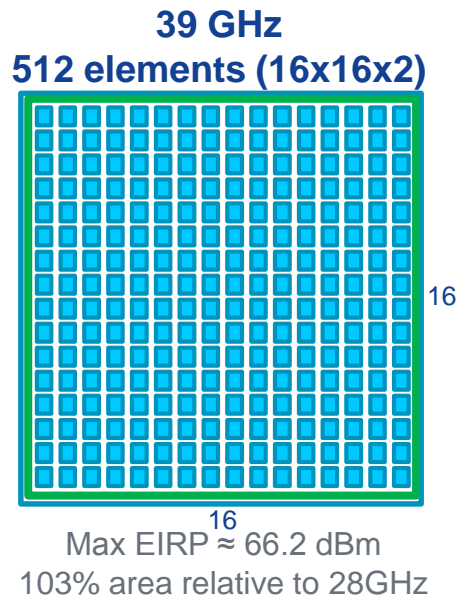
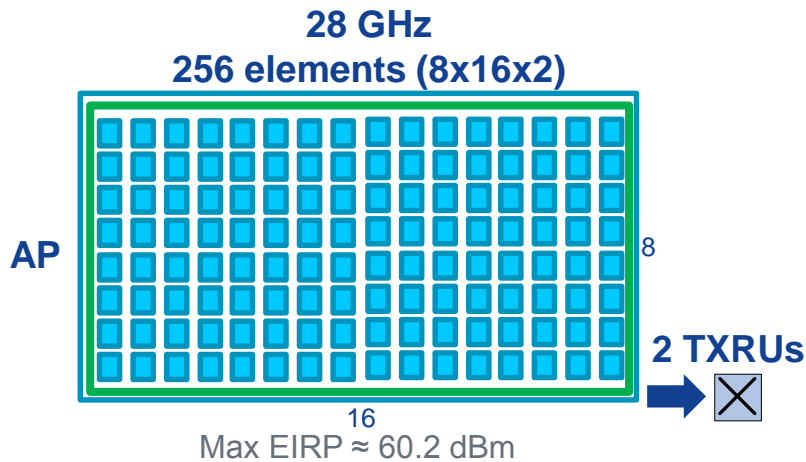
- Acquisition and maintenance of a set of beams for TX and RX at base and UE
- CoMP is built in

Early 5G use case: Extreme broadband to the home (mmWave)



Antenna Array Comparisons - AP Antenna Aperture Constant vs. Frequency

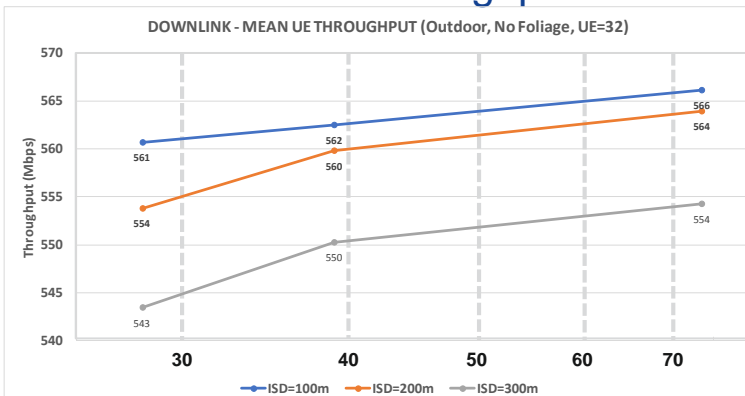
5dBi ant element gain, 7dBm AP Pout per element, 1dBm UE Pout per element, shown to scale



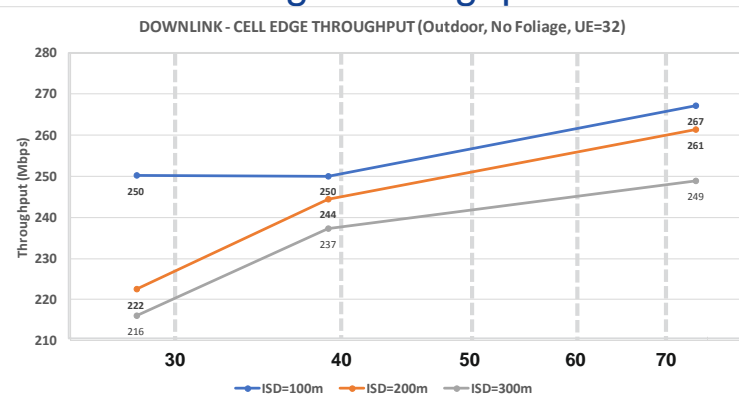
System Simulation Results for the Suburban Micro Environment

Constant Antenna Aperture for 28 GHz, 39 GHz and 73 GHz

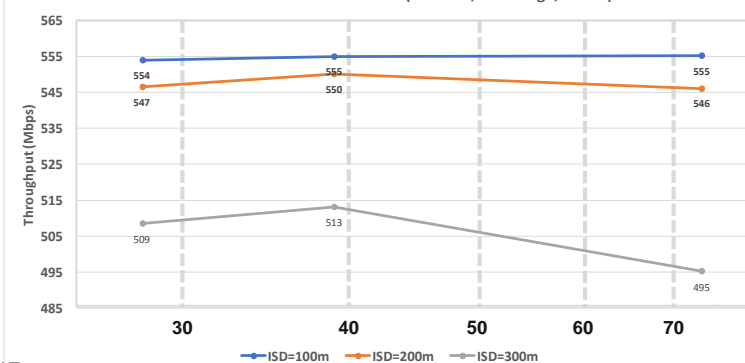
Mean UE Throughput



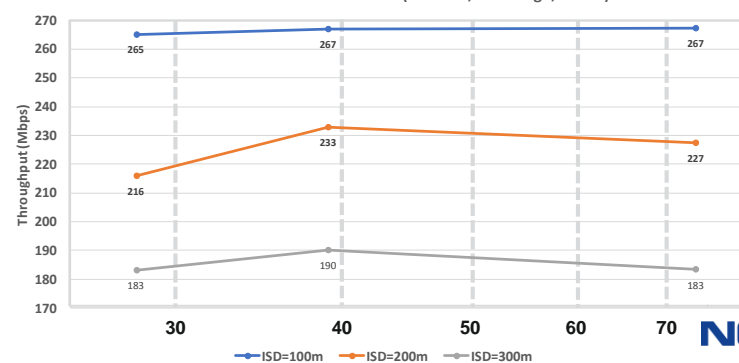
Cell Edge Throughput



Uplink - Mean UE Throughput



Uplink - Cell Edge Throughput

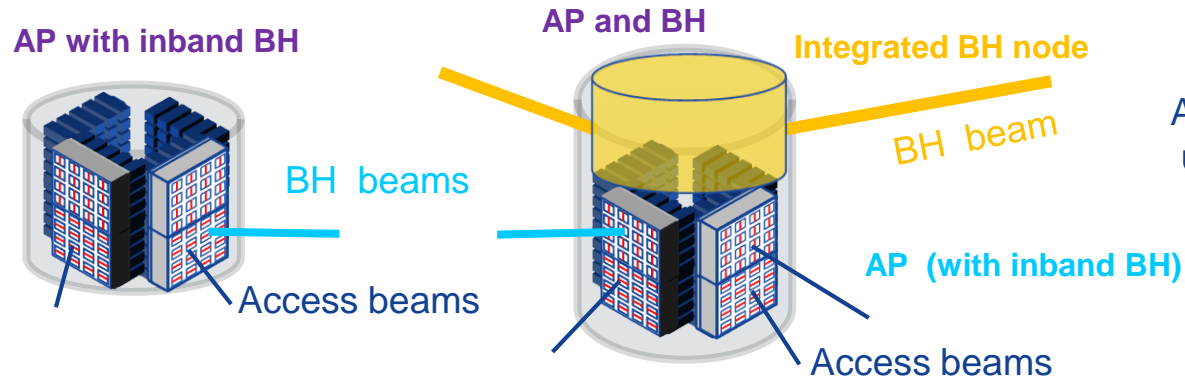
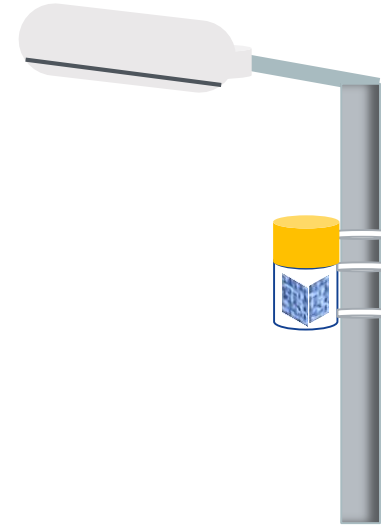


Downlink

Uplink

Basic Network Building blocks

- 5G mmW basestation and integrated wireless backhaul will be a small box which is easy to install to lamp posts, walls or small masts.
- The cost of the box is mainly in RF, antennas and BB-SoC, of course some cost goes for cover mechanics and power supply.
- Investigating how to arrange the creation and manufacturing of the RF and antenna components.
- Multi-sector sBH is the assumption



AP and Integrated BH use quite similar technologies